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Application of: Pramod K. Srivastava

Serial No.: 09/750,972

Group Art Unit: 1645

Filed: December 28, 2000

Examiner: To Be Assigned

For: ALPHA (2) MACROGLOBULIN AS A HEAT SHOCK PROTEIN RECEPTOR AND USES THEREOF Attorney Docket No.: 8449-134

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

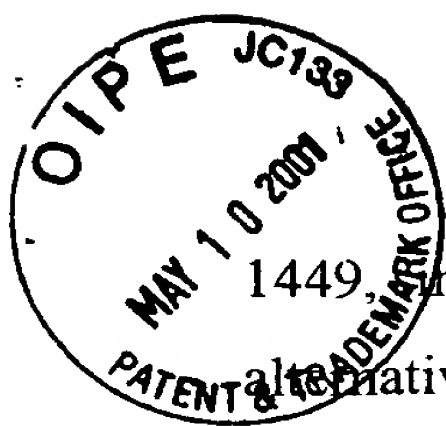
Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references AA-DJ listed on the attached revised form PTO 1449. Copies of references AA-DJ are submitted herewith.

The above identified application is a continuation-in-part of copending application No. 09/668,724, filed September 22, 2000, which is a continuation-in-part of copending application No. 09/625,137, filed July 25, 2000, claiming priority under 35 U.S.C. § 119(e) to provisional application no. 60/209,095, filed June 2, 2000.

While not to be construed as indicating that the Examiner should not review and consider fully all the listed references, Applicant particularly direct the Examiner's attention to references AD, AF, AP, AR, AV, AW, AX, AY, BR, CA, CJ, CK, CM, CU, and CW.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-



Since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith a "revised form PTO 1449" entitled "List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150; a duplicate of this sheet is enclosed.

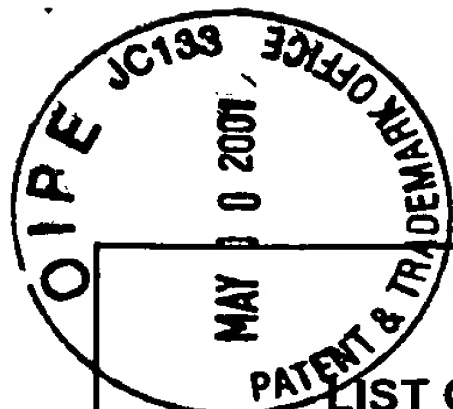
Date: May 10, 2001

Respectfully submitted,

Adriane M. Antler 32,605
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Enclosure



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LIST OF REFERENCES CITED BY APPLICANT

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ATTY. DOCKET NO.

8449-134

APPLICATION NO.

09/750,972

APPLICANT

Srivastava, Pramod K.

FILING DATE

12/28/00

GROUP

1645

U.S. PATENT DOCUMENTS

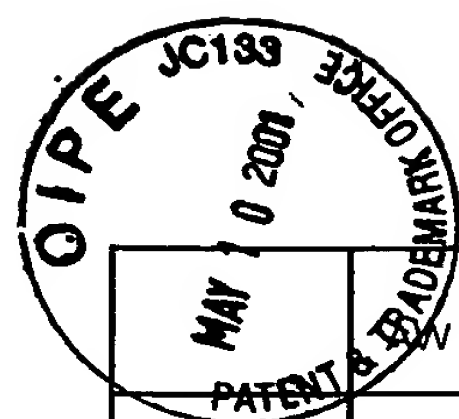
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	AA	09/411,075					10/4/99
	AB	5,112,298	5/12/92	Prince et al.			
	AC	5,554,293	9/10/96	Uhoch			
	AD	5,637,082	6/10/97	Pages et al.			
	AE	5,837,251	11/17/98	P. Srivastava			
	AF	5,846,928	12/8/98	Kishida			
	AG	5,935,576	8/10/99	P. Srivastava			
	AH	5,961,979	10.5.99	P. Srivastava			
	AI	5,985,270	11/16/99	P. Srivastava			
	AJ	6,017,540	1/25/00	P. Srivastava			
	AK	6,027,731	2/22/00	Pauza			
	AL	6,033,561	3/7/00	Schoendorfer			
	DH	09/668,724					9/22/00
	DI	09/625,137					7/25/00
	DJ	60/209,095					6/2/00

FOREIGN PATENT DOCUMENTS

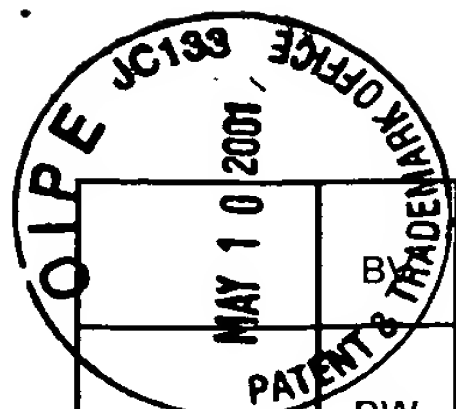
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	AM	WO 96/10411	4/11/96	PCT				
	AN	WO 97/10002	3/20/97	PCT				
	AO	WO 98/46743	10/22/98	PCT				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

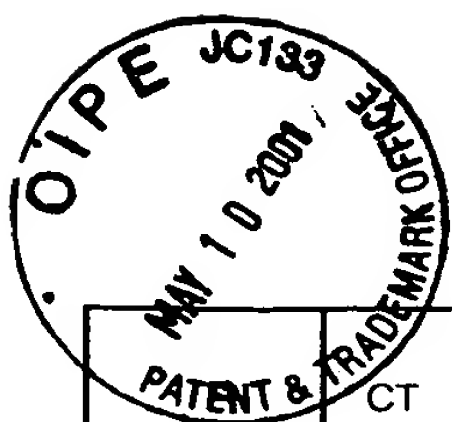
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	AX	Chu et al., 1994, "Adjuvant-Free in Vivo Targeting. Antigen Delivery by α_2 -macroglobulin enhances antibody formation", J. Immun. 152(4):1538-45.
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	BT	Krieger and Herz, 1994, "Structures and functions of multiligand lipoprotein receptors: macrophage scavenger receptors and LDL receptor-related protein (LRP)", Annu Rev Biochem. 63:601-37.
	BU	Kristensen et al., 1990, "Evidence that the newly cloned low-density-lipoprotein receptor related protein (LRP) is the alpha 2-macroglobulin receptor", FEBS Lett. 276(1-2):151-5.



	B	Maki et al., 1990, "Human homologue of murine tumor rejection antigen gp96: 5'-regulatory and coding regions and relationship to stress-induced proteins", Proc Natl Acad Sci U S A. 87(15):5658-62.
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	BX	McKee and Collins, 1974, "Intravascular Leukocyte thrombi and aggregates as a cause of morbidity and mortality in leukemia", Medicine 53: 463-478
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	BZ	Misra et al., 1993, "Receptor-recognized alpha 2-macroglobulin-methylamine elevates intracellular calcium, inositol phosphates and cyclic AMP in murine peritoneal macrophages". Biochem J. 290 (Pt 3):885-91.
	CA	Mitsuda et al., 1993, "A receptor-mediated antigen delivery and incorporation system", Biochem. and Biophys. Res. Comm. 191: 1326-31
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	CE	Nicchitta et al., 1998, "Biochemical, cell biological and immunological issues surrounding the endoplasmic reticulum chaperone GRP94/gp96", Curr Opin Immunol. 10(1):103-9.
	CF	Nielsen et al., 1996, "Identification of residues in alpha-macroglobulins important for binding to the alpha2-macroglobulin receptor/Low density lipoprotein receptor-related protein", J Biol Chem. 271(22):12909-12.
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	CH	O'Connor-McCourt et al., 1987, "Latent transforming growth factor-beta in serum. A specific complex with alpha 2-macroglobulin", J Biol Chem. 262(29):14090-9.
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	CJ	Osada et al., 1987, "Murine T cell proliferation can be specifically augmented by macrophages fed with specific antigen: α-2-macroglobulin conjugate", Biochem. and Biophys. Res. Comm. 146: 26-31
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	CN	Sargent et al., 1989, "Human major histocompatibility complex contains genes for the major heat shock protein HSP70", Proc Natl Acad Sci U S A. 86(6):1968-72.
	CO	Savill et al., 1992, "Thrombospondin cooperates with CD36 and the vitronectin receptor in macrophage recognition of neutrophils undergoing apoptosis", J Clin Invest. 90(4):1513-22.
	CP	Singh-Jasjua et al., 2000, "Cross Presentation of Glycoprotein 96-associated antigens on major histocompatibility complex class molecules requires receptor-mediated endocytosis", J. Exp. Med. 191:1965-74
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	CS	Srivastava et al., 1991, "Stress-induced proteins in immune response to cancer", Curr Top Microbiol Immunol. 167:109-23.



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